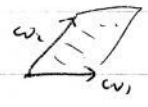


$K3$, Enriques surfaces.

§0. Introduction

elliptic curves. $E = \mathbb{C}/\Lambda$ $\Lambda = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2 \subset \mathbb{C}$



$H_1(E, \mathbb{Z}) \cong \mathbb{Z}^2$

ω_1, ω_2 a basis

$\exists \omega_E$: a hol 1-form on E .
unique up to const.

$\mathcal{Q}(E) := \int_{\gamma_1} \omega_E / \int_{\gamma_2} \omega_E$

FACT: $\text{Im } \mathcal{Q}(E) \neq 0$.

By changing γ_1 and γ_2 , we may assume: $\text{Im } \mathcal{Q}(E) > 0$, $\mathcal{Q}(E) \in \mathbb{H}^+ = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$.

γ'_1, γ'_2 : a basis $\gamma'_1 = a\gamma_1 + b\gamma_2$
 $\gamma'_2 = c\gamma_1 + d\gamma_2$

$\int_{\gamma'_1} \omega_E / \int_{\gamma'_2} \omega_E = \frac{a \int_{\gamma_1} \omega_E + b \int_{\gamma_2} \omega_E}{c \int_{\gamma_1} \omega_E + d \int_{\gamma_2} \omega_E} = \frac{a \mathcal{Q}(E) + b}{c \mathcal{Q}(E) + d}$

$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})$

{elliptic curves} / $\cong \xrightarrow{\tau} \mathbb{H}^+ / \text{SL}(2, \mathbb{Z})$

In case of $K3$. ω_X : a hol. 2-form unique up to const.

$\mathbb{H}^2(X, \mathbb{C}) \ni \omega_X: \mathbb{H}^2(X, \mathbb{R}) \rightarrow \mathbb{C}$
 $\gamma_1 \mapsto \int_{\gamma_1} \omega_X$ $\begin{cases} \langle \omega_X, \omega_X \rangle > 0 \\ \langle \omega_X, \bar{\omega}_X \rangle > 0. \end{cases}$

$K3$ Torelli Lattices

§1. Lattices.

§2. Periods of $K3$, Enriques surfaces

§3. Anomalous τ "A Description for $\text{Aut}(X)$ for any $K3$, " A relation with M_{23} (Re Murakami)

§4. (Prochaska problem) ~~periods~~ Anomalous form. on type IV divisors with known genus & poles

§1. Lattices.

$L \cong \mathbb{Z}^r$, $\langle, \rangle: L \times L \rightarrow \mathbb{Z}$ a non-deg. sym. bilinear form

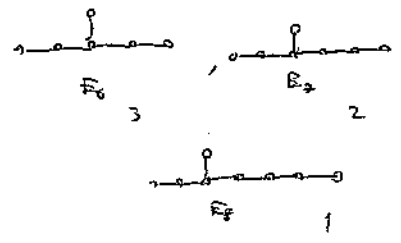
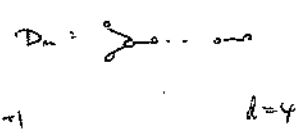
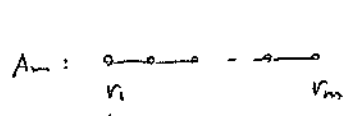
$L \otimes \mathbb{R} \xrightarrow{\text{sim}} L = (p, q)$ $p=0$ or $q=0 \Rightarrow L$ is called definite, otherwise indefinite ($p>0, q>0$)

$L^* := \text{Hom}(L, \mathbb{Z}) \xleftrightarrow{\sim} L$ $A_L := L^*/L$ a finite abelian gr.

L : unimodular if $L \xrightarrow{\sim} L^*$. $d(L) = |A_L|$. Even, \hookrightarrow (1, 2, 2014)

Exmpl. $U = (\mathbb{Z}^2, \langle \cdot, \cdot \rangle)$, $L(m) = (L, m \langle, \rangle)$ $U(2) = (\mathbb{Z}^2, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix})$.

(wg) def. lattice gen. by (-2)-vectors.
 ind. root lattice



$A_n = \langle r_1, \dots, r_n \rangle$

$\langle r_i, r_j \rangle = r_i^2 = -2$ $\langle r_i, r_j \rangle = -1$
 \downarrow
 $\circ \text{---} \circ$
 $r_i \quad r_j$

unimodular lattice

Prop. L : even, unimodular, $\text{sign}(P) \Rightarrow P - \frac{1}{2} \geq 0$ (8)

Prop. L : even, unimodular, ind. $\Rightarrow L$ is uniquely determined by $\text{sign}(P)$

$$L \cong \begin{cases} U^2 \oplus E_8(-1)^{\frac{P-8}{8}} & \text{if } P \geq 8 \\ U^P \oplus E_8^{2-P/8} & \text{if } 8 \geq P \end{cases}$$

De Rham definite case, not unique.

rk	8	16	24	32
#isom. class	1	2	24	20 million
	E_8	E_8^2	Niemn lattice	
		$D_8 \times D_8$		

$\text{Coxeter \#} = \frac{\# \text{ roots}}{\text{rank}(L)} = \frac{\# \text{ roots}}{24}$

R	#roots	h
A_n	$n(n+1)$	$n+1$
D_n	$2n(n-1)$	$2n-1$
E_6	72	12
E_7	126	18
E_8	240	30

N : a Niemann lattice.

V
 $R(N) := \text{root lattice} \Rightarrow R(N) = \emptyset$ — lattice lattice

or
 $\text{rk } R(N) = 24$ — 23 isom. class determined by $R(N)$.

Unmod. copy of $R(N)$ has the same Coxeter #.

disjoint quotient for

L : even lattice

$\mathcal{L}_L: A_L = L^*/L \rightarrow \mathbb{Q}/2\pi$
 $x+L \mapsto (x, x) + 2\pi$
 $b_L: A_L \times A_L \rightarrow \mathbb{Q}/\mathbb{Z}$

$\mathcal{L}_L(x+y) = \mathcal{L}_L(x) + \mathcal{L}_L(y) + 2b_L(x, y) \pmod{2\pi}$

~~Coxeter #~~ $R(N) = A_1^{24}, A_2^{11}, \dots$

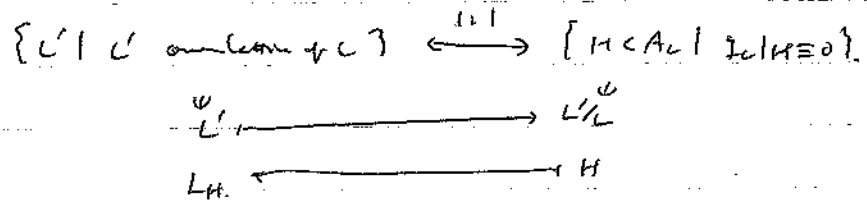
Overlattice

L : even lattice.

$A_L \supset H$ isomorph class with $\mathcal{L}_L(\mathcal{L}_H = 0)$.

$L^* \supset L_H := \{x \mid x \text{ mod } L \in H\} \supset L$
 x even lattice.

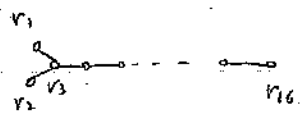
Prop: $L' \supset L$ $rk(L') = rk(L) \Rightarrow L'$ is called an overcode of L on \mathbb{F}_q .



Ex: 1

① $L = D_{16}$

$A_{D_{16}}$



$$\left\langle \frac{v_1 + v_3 + \dots + v_{16}}{2}, \frac{v_2 + v_4 + \dots + v_{16}}{2} \right\rangle \cong A_{U(2)}$$

$$\frac{-2 \times 8}{4}$$

$d(D_{16}) = 7 \Rightarrow D_{16} \subset \prod_{i=1}^7 D_{16} \quad d(D_{16}) = 1$

② $L = A_1^{24}$

$A_L \cong \mathbb{F}_2^{24} \Rightarrow (v_1, \dots, v_{24}) = x$

$x^2 = \sum_{i=1}^{24} x_i^2$

\exists g a binary Golay code.

\mathbb{F}_2^{12}

$(1, \dots, 1) \in g$

x

non-zero entries is a multiple of 4.

weights of $x \geq 8$.

$d = 2^{24} \quad E$
 $L \subset N$ overcode
 2^{12}

$d(L) = 2^{24} d(N) \Rightarrow d(N) = 1$

$O(N)/W(N) \subset G_{24}$ ~~$O(N) \cong \mathbb{F}_2^{24} \cdot W(N) \subset G_{24}$~~

$M_{24} = \{ \sigma \in G_{24} \mid \sigma(g) \subset g \}$

Mathieu group
isomorphic finite simple group of order $2^4 \cdot 3^3 \cdot 5 \cdot 7 \cdot 11 \cdot 23$
 $M_{23} \subset 2^7 \cdot 3^2 \cdot 5 \cdot 7 \cdot 11 \cdot 23$

primitive embeddings of our codes into simple codes

$L =$ an unimodular

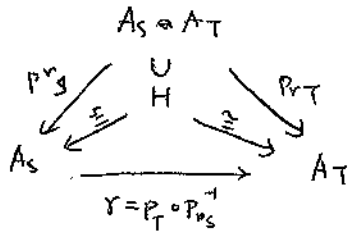
S a self-dual primitive (L/S : nonsingular)

$T = S^\perp$ in L primitive self-dual

$S \oplus T \subset L$ an overcode w.r.t.

$H := L/S \oplus T \subset A_{S \oplus T} = A_S \oplus A_T$
with $g_S \oplus g_T$.

Prop



$$I_S(x) + I_T(r(x)) \equiv 0 \quad (2)$$

$$(x \in A_S)$$

⊙

$$L \ni x = x_S + x_T$$

$$\begin{matrix} \uparrow & \uparrow \\ A_S & A_T \\ S^* & T^* \end{matrix}$$

$$\bar{x}_S = 0 \text{ in } A_S \Rightarrow x_T = x \in L. \quad T: \text{prime} \Rightarrow \bar{x}_T = 0$$

$$\Rightarrow P_S: H \rightarrow A_S \text{ injective}$$

$$|H|^2 = |A_S| \cdot |A_T|$$

$$\Rightarrow P_S: H \xrightarrow{\cong} A_S$$

Corollary

Prop

S, T : even lattices, $\gamma: A_S \xrightarrow{\cong} A_T$ iso. s.t. $I_T(r(x)) = -I_S(x)$.

$\Rightarrow \exists L$: even unimodular s.t. $S \hookrightarrow L$ prime, $T = S^\perp$ in L .

⊙

$$H := \{ (x, r(x)) \mid x \in A_S \} \subset A_S \oplus A_T = A_S \oplus T. \quad \text{Isot. w.r. to } I_S \oplus I_T.$$

$$\gamma: \text{iso} \Rightarrow S \hookrightarrow L \text{ primitive}$$

Example $L = E_8$

S	A ₁	A ₁	A ₃	A ₄	D ₇
T	E ₇	E ₆	D ₅	A ₄	D ₄

Con L : even, unimodular, $S \subset L$ primitive, $T = S^\perp$ $\exists g \in O(S)$, $\exists \gamma|_{A_S} = \text{id}$.

$$\Rightarrow \exists \tilde{\gamma} \in O(L) \text{ s.t. } \tilde{\gamma}|_S = g, \tilde{\gamma}|_T = \text{id}_T$$

$$\odot \tilde{\gamma} = (g, \text{id}_T) \in O(S \oplus T) \subset O(S^* \oplus T^*)$$

$$\tilde{\gamma}|_{A_T \oplus A_S} = \text{id} \Rightarrow \tilde{\gamma} \in O(L) \subset L$$

Con $O(T) \rightarrow O(S)$

$$\Rightarrow O(S) \ni g \text{ can be extended to } \tilde{\gamma} \in O(L)$$

T : even unimodular lattice s.t. $\text{rk}(T) \geq \text{rk}(A_S) + 2 \Rightarrow T$ is unimodular $\in O(T) \rightarrow O(S)$

for \forall part
 $\cdot \text{rk}(T) \geq \text{rk}(A_T) + 2$
 $\cdot \text{rk}(T) \geq \text{rk}(A_T)$
 $\Rightarrow \exists T_2 \subset T_1 \subset T_1^\perp$
 \downarrow
 \downarrow
 \downarrow

Th (Niike)

Assume $\text{rk}(T) \geq \text{rk}(A_T) + 2$.

$\Rightarrow T$ is unimodular determinant

$\exists T$: even lattice of signature (t_+, t_-) , $\exists \gamma \in O(T)$
 if $t_+ - t_- = \text{sig}(\gamma) \pmod{8}$, $t_+ > 0, t_- > 0$
 $\Rightarrow t_+ + t_- = \text{rk}(T) \geq 2 + \text{rk}(A_S)$

§ Periods of K3, Enriques

$X: a(K)$ $H^2(X, \mathbb{Z})$: even, unimodular lattice of sign $(2, 18)$

L : an abstract lattice

• $H^2(X, \mathbb{Z}) \xrightarrow{\cong} L$ $\alpha_x(\omega_x) \in L \otimes \mathbb{C}$

\cap \cup
 $H^2(X, \mathbb{C}) \xrightarrow{\alpha_x} L \otimes \mathbb{C}$

$\Omega := \{ \omega \in \mathbb{P}(L \otimes \mathbb{C}) \mid \langle \omega, \omega \rangle = 0, \langle \omega, \bar{\omega} \rangle > 0 \}$

\uparrow
 the period domain of (X, α_x)

• $h \in L$ $h^2 = 2d > 0$

primitive

$\langle h \rangle \subset L$
 prime

$h^\perp = L/h$ unique

$H^2(X, \mathbb{Z}) \xrightarrow{\cong} L$
 \cup \cup
 $h^\perp \rightarrow h$

$D_{2d} := \{ \omega \in \mathbb{P}(L \otimes \mathbb{C}) \mid \dots \}$

\Downarrow a ball sym. domain of type IV.

$O(L_{2d})$ properly discant.

\cup
 $\Gamma_{2d} := \{ \gamma \mid \gamma^* | A_{L_{2d}} = id \}$

D_{2d}/Γ_{2d}

• Enriques surface

$X \xrightarrow{\pi} Y$: an Enriques surface $\cong X/\langle \sigma \rangle$ $X: a(K)$. $\sigma: \sigma^2 = id$ free invol.

$\beta_2 = \beta_0 = 2K_X = 0$ $H^2(X, \mathbb{Z}) \cong \mathbb{P}ic Y / \langle \sigma \rangle \cong U \oplus E_8$

$L_X^\pm := \{ x \in H^2(X, \mathbb{Z}) \mid \sigma^* x = \pm x \}$ $q_{L_X^\pm} \cong L_{L^\pm}$

$\Rightarrow L_X^+ \cong \pi^* H^2(X, \mathbb{Z}) \cong U(2) \oplus E_8(2)$ $L^- \cong U(2) \oplus U \oplus E_8(2)$

$O(L^+) \rightarrow O(L^-)$

$\iota: L \rightarrow L^-$ invol.

$L^\pm := \{ x \in L \mid \iota^* x = \pm x \} = \begin{cases} U(2) \oplus E_8(1) \\ U \oplus U(1) \oplus E_8(1) \end{cases}$

$D^+ := D_{10}^+ = \{ \omega \in \mathbb{P}(L \otimes \mathbb{C}) \mid \langle \omega, \omega \rangle = 0, \langle \omega, \bar{\omega} \rangle > 0 \}$ 10-dim. dom. of type IV.

\Downarrow $\Gamma = O(L^-)$

Remark $\omega \in D \quad \exists X: a(K)$ with $d_X: H^1(X, \mathbb{Z}) \rightarrow L$, $d_X(\omega_X) = \omega$.

However it may happen that X is not a copy of \mathbb{P}^1

Assume: $\exists r \in L_- \quad r^2 = -2, \langle \omega, r \rangle = 0$.

$S_X := \omega_X^\perp \cap H^1(X, \mathbb{Z})$ Picard lattice

$\Rightarrow \exists \delta \in S_X \quad \delta^2 = -2, \langle \omega, \delta \rangle = -\delta$.

R.R. $\Rightarrow \delta$ or $-\delta$ is effective.

If ω is an automorphism, this does not happen.
 (rep. by)

$(D \setminus \bigcup_{\substack{r \in L_- \\ r^2 = -2}} r^+) / \Gamma = (D/\Gamma) \setminus \mathbb{R}.$
 ↑ immed. division

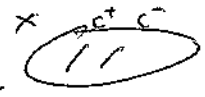
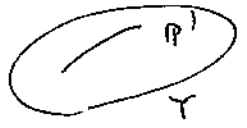
Example

Remark a gen. Enriques does not contain \mathbb{P}^1 .

$\beta(X) = 10$. i.e. $\text{Pic} X = L_+$.

①

$Y \supset C \cong \mathbb{P}^1$



$(C^+ - C^-)^2 = -4$
 $C^+ - C^- \in L_-$

Root invariants

~~Example~~

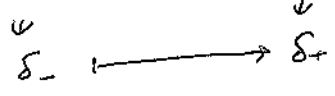
$\Delta_{\pm} := \{ \delta_{\pm} \in S_X^{\pm} \mid \delta_{\pm}^2 = -4, \exists \delta_{\mp}^{\pm} \in S_{\mathbb{P}^1}^{\mp} \text{ s.t. } \delta_{\mp}^{\pm} = -\delta_{\pm}, \frac{(\delta_{\pm} + \delta_{\mp}^{\pm})}{2} \in S_X \}$

[

$K := [0, -1] (\frac{1}{2})$ a root lattice.

Make root invariants

$\exists: K \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z} \rightarrow S_X^+ (\frac{1}{2}) \text{ mod } 2.$



$(K, K \otimes \mathbb{Z}/2\mathbb{Z})$ root invariants defined by Nikulin.

Ex. (Mumford generic)

$$F = \left(\sum_{i=1}^5 \lambda_i x_i^3 = 0, \sum_{i=1}^5 x_i = 0 \right) \subset \mathbb{P}^4 \quad \text{Sylvester form } (\lambda_i \neq 0)$$

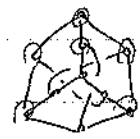
$$H = \left(\sum_{i=1}^5 \frac{1}{\lambda_i x_i} = 0, \sum x_i = 0 \right) \leftarrow X \text{ a KS.}$$

10 nodes $P_{ijk} : x_i = x_j = x_k = 0$ 10 lines $L_{ij} : x_i = x_j = 0$

E_{ijk}, L_{ij} (10)₅-conf.

$(x_1 \dots x_5) \rightarrow \left(\frac{1}{x_1 x_2}, \dots, \frac{1}{x_4 x_5} \right)$ under a fixed pt. free invol. σ of X .

$$\sigma : L_{ij} \leftrightarrow E_{ijk}$$



Petri graph G_S .

$$\lambda_i = \dots = \lambda_5 = 1 \Rightarrow A_S(X) \cong G_S \quad \text{(Kondo)}$$

$$E_S(2), \quad K = E_S, K_2 \beta = 0$$

$$T \cong U \oplus U(2) \oplus A_S(2)$$

Mukai, Okabe

§. Anomalous of KS, Enriques

$$X : \text{alg. KS}$$

$$S_X = \text{Pic } X$$

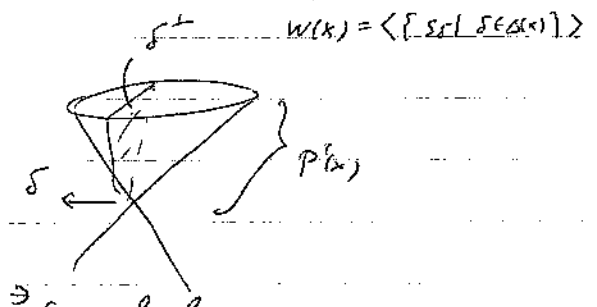
$$\Delta(X) := \{ \delta \in S_X \mid \delta^2 = -2 \}, \ni \delta \quad S_\delta : x \rightarrow x + \langle x, \delta \rangle \delta \in O(S_X)$$

$$P(x) = \{ x \in S_X \oplus \mathbb{R} \mid x^2 > 0 \}$$

$w(x) \supset P(x)$ a conic w/pt \ni an apl. chm

$C(x) :=$ the conic w/pt of $P(x) \cup \delta^\perp$ \ni an apl. chm

a final. dom of $w(x)$



$$A_S(x) \rightarrow A_S(C(x)) = \{ \varphi \in O(S_X) \mid \delta(C(x)) \subset C(x) \} \cong O(S_X) / \langle \delta \rangle \cdot w(x)$$

\uparrow a finite kernel a cokernel

$$A_S(C(x)) \supset K_\alpha \{ A_S(C(x)) \rightarrow O(\mathbb{P}^2) \} \quad \exists \tilde{\varphi} \in O(L) \text{ st } \tilde{\varphi}|_{\mathbb{P}^1} = \varphi, \tilde{\varphi}|_{T_X} = \text{id.}$$

Cor $|A_S(x)| < \infty \Leftrightarrow [O(S_X) : w(x)] < \infty$ (Mukai, Viehweg)

reflective Esselmann Viehweg to work of KS Kumar

(1.1), (1.2)

8. Borel products

L : even lattice of $\text{sym}(2, 2b)$.

$A_L = L^*/L \cong \mathbb{Z}^2 \quad e_\gamma \in \mathbb{C}[A_L]$

$SL_2(\mathbb{Z}) \cong \mathbb{Z}[A_L] \quad T = \begin{pmatrix} 1 & \\ 0 & 1 \end{pmatrix}, S = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

$SL_2(\mathbb{R}/\mathbb{Z})$

$N(x, \delta), N\pi^2 \in \mathbb{Z}$

$\forall \gamma, \delta \in L^*$

$$\begin{cases} P_{\text{ML}}(T) e_\gamma = e^{2\pi i \gamma} e_\gamma \\ P_L(S) e_\gamma = \frac{j(\gamma)}{\sqrt{|A_L|}} \sum_{\delta \in A_L} e^{-2\pi i \gamma \cdot b_L(\gamma, \delta)} e_\delta \end{cases}$$

$f: H^+ \rightarrow \mathbb{C}[A_L]$ a hol. & mod. form is called a ~~mod~~ form of wt. k & type ρ .

$\downarrow \quad \uparrow$
 $SL_2(\mathbb{Z}) \quad \rho_L$

$F\left(\frac{az+b}{cz+d}\right) = (cz+d)^{-k} \rho_L \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot F(z)$

The (Borel) f : a mod. form of wt. $k-b$ & ρ_L .

$\mathbb{D} \quad \sum_{n \in \mathbb{Z}} f_n(z) e_\gamma = \sum_{n \in \mathbb{Z}} c_n(z) e^{2\pi i n \tau} e_\gamma$

\uparrow
 \mathbb{Z} for $n \leq 0$.

$\Rightarrow \exists \Psi$: a mod. auto. form of wt. 0 or $1/2$. with known zero pattern on $\mathbb{D}(L)$

$\tilde{\mathbb{D}}(L) := \{z \in L \otimes \mathbb{C} \mid \langle z, \bar{z} \rangle = 0, \langle z, \bar{z} \rangle > 0\}$

\downarrow
 $\mathbb{D}(L) := \{z \in \mathbb{R}(L \otimes \mathbb{C}) \mid \dots\}$

$\Psi: \tilde{\mathbb{D}}(L) \rightarrow \mathbb{C}$ is a mod. auto. form of wt. k if Ψ is a mod. form on $\tilde{\mathbb{D}}(L)$ & Ψ is homogeneous of degree k i.e. $\Psi(cz) = c^k \Psi(z)$

inv. w.r. $P \in \text{Aut}(L)$ functions

Ex $L = \mathbb{I}_{2,26} \quad A_L = 0$

$f = \frac{1}{\Delta(\tau)} \quad \Delta(\tau) = 2 \prod_{n>0} (1 - \tau^{2n})$

$= 2 + 24 + \dots$

hol. Ψ : an. form on $\mathcal{D}(L)$ of wt. 12 with zero alg. (-27)-vector

L_{2d} ^{pl.} K basis of space of d -forms.



L

$E_7 \subset L$

$E_7^\perp \cong L_2$

w.t. $= 12 + 63 = 75$

2,11.

$-\frac{1}{2} \leftarrow 56 + 1 = 57$

$U \oplus U \oplus E_8 \oplus \mathbb{F} \oplus \langle -2 \rangle$

\downarrow
 r

$\frac{1}{\text{wt.}}$

Ex $L = U \oplus U(2) \oplus \mathbb{F} \oplus \mathbb{F} \quad L \cong L^*(2)$

$L^*/M = \mathbb{Z}/2 \times \mathbb{Z}/2 \quad \mathbb{Z}/2 = \{0, 1\}$

Ψ
00, 01, 10, 11
rotat. non-rot.

$f_{00} = 8 \eta(2\tau)^8 / \eta(\tau)^{16} = 8 + 128\tau + \dots$

$f_{01} = f_{10} = -8 - 128\tau + \dots$

$f_{11} = 8 \eta(2\tau)^8 / \eta(\tau)^{16} + \eta(\tau/2)^8 / \eta(\tau)^{16} = 8\tau^{1/2} + 368\tau^{3/2} + \dots$

$\exists \Psi$: an hol. an. form of wt. 4 with zero div. $\mathcal{D}(-1)$ -vector

On $\mathcal{D}(L \otimes \mathbb{R})$

$(\Psi) = \mathbb{R}$

Yoshikawa